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3-D models and structural analysis of analogue rock avalanche deposits: a kinematic analysis of the propagation mechanism

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Abstract

Rock avalanches are extremely destructive and uncontrollable events that involve a great volume of material ($> 10^6 \text{ m}^3$), several complex processes and they are difficult to witness. For this reason the study of these phenomena using analogue modelling and the accurate analysis of deposit structures and features of laboratory data and historic events become of great importance in the understanding of their behavior.

The main objective of this research is to analyze rock avalanche dynamics by means of a detailed structural analysis of the deposits coming from data of 3-D measurements of mass movements of different magnitudes, from decimeter level scale laboratory experiments to well-studied rock avalanches of several square kilometers magnitude.

Laboratory experiments were performed on a tilting plane on which a certain amount of a well-defined granular material is released, propagates and finally stops on a horizontal surface. The 3-D geometrical model of the deposit is then obtained using either a scan made with a 3-D digitizer (Konica Minolta vivid 9i) either using a photogrammetric method called Structure-from-Motion (SfM) which requires taking several pictures from different point of view of the object to be modeled.

In order to emphasize and better detect the fault structures present in the deposits, we applied a median filter with different moving windows sizes (from 3×3 to 9×9 nearest neighbors) to the 3-D datasets and a gradient operator along the direction of propagation.

The application of these filters on the datasets results in: (1) a precise mapping of the longitudinal and transversal displacement features observed at the surface of the deposits; and (2) a more accurate interpretation of the relative movements along the deposit (i.e. normal, strike-slip, inverse faults) by using cross-sections. Results shows how the use of filtering techniques reveal disguised features in the original point cloud and that similar displacement patterns are observable both in the laboratory simulation and in the real scale avalanche, regardless the size of the avalanche. Furthermore, we observed how different structural features including transversal fractures and folding

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patterns tend to show a constant wavelength proportional to the size of the avalanche event.

1 Introduction

5 Rock avalanches, or Sturzstroms (Heim, 1932) are defined as an extremely rapid, massive, flow-like motion of fragmented rocks derived from a bed-rock failure (Hungur et al., 2001). Rock avalanches are events in which granular masses of rock debris flow at high speeds, commonly with unusually runout (Corominas, 1996; Friedmann and Losert, 2003). A great volume of material ($> 10^6 \text{ m}^3$) is involved and the flowing mass can reach velocities in the order of tens meters per second. They can travel long distances, 10 in the order of kilometers and cover an area over 0.1 km^2 (Hsü, 1975). They present a very high mobility and need to be simulated with adapted frictional models (Hungur et al., 2001; Pedrazzini et al., 2012). Authors proposed different possible causes, which could explain the high mobility of these phenomena, such as the influence of the large destabilized volume (Heim, 1932; Hsü, 1975; Scheidegger, 1973; Nicoletti and Sorriso-Valvo, 1991), the momentum transfer within the rear and the front of the flowing mass 15 (Van Gassen and Cruden, 1989; Manzella and Labiouse, 2009), or the fragmentation of the spreading mass (Heim, 1932; Davies, 1982; Davies and McSaveney, 1999; Locat et al., 2006). In order to understand the behavior of such granular flows, laboratory scale experiments provide important information on their propagation and on the parameters influencing their mobility, even if they reproduce idealized conditions (Davies and McSaveney, 1999, 2003; McDougall and Hungr, 2004; Shea and van Wyk de Vries, 2008; Manzella and Labiouse, 2008, 2009). Several authors proposed different parameters for the geometrical description of large landslides. One of the most used is the 20 Fahrböschung concept, which was introduced by Heim (1932) to estimate the maximum runout of rock avalanches or landslides (Scheidegger, 1973; Hsü, 1975; Davies, 1982) and which is defined as the angle of the straight line connecting the head of the scar to the end of the deposit. 25

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The presence of faults and folds are common features on the surface of rock-avalanche deposits. One of the best examples is the rock avalanche deposit of So-compa volcano (Northern Chili). This deposit was widely studied before (Francis et al., 1985; van Wyk de Vries et al., 2001; Kelfoun and Druitt, 2005; Shea and van Wyk de Vries, 2008) and presents a well preserved morphology thanks to the local arid climate. A complex assemblage of surface structures (normal faults, strike-slip faults, thrusts, ridges) is displayed on the surface of the deposit. Van Wyk de Vries et al. (2001) showed that these structures incise deeply the internal part of the deposit. The non-volcanic deposit of Blackhawk (California, USA) also presents similar features (Shea and van Wyk de Vries, 2008) (Fig. 1a) as well as the Frank Slide in Alberta (Canada) (Cruden and Huger, 1986, 2011; Charrière et al., 2015). Features perpendicular to the flow direction are mainly present in the distal part of the deposit and are interpreted as the surface expression of the underneath topography. Reversibly, longitudinal features on the proximal and the central part of the deposit are assumed to be morphological features that were created during the process of avalanche propagation and deposition. It is also interesting to highlight that similar features have been observed in other planets such as in the Mont Olympus (Mars) (Fig. 1b). Shea and van Wyk de Vries (2008) provided a detailed map of this extraterrestrial Martian rockslide avalanche where it can be observed that thrust faults are located in the front of the deposit and that are cut by strike-slip faults. Normal faults are presented in the central part of the deposit. Although, these features occur during the emplacement of the deposit, however, few studies focus on these deformational settings.

In the present paper a detailed structural analysis is carried out based on data coming from dedicated laboratory experiments and historic events in order to better understand the dynamics of these complex phenomena.

2 Data acquisition

The first step of this study consisted in carrying out laboratory experiments in order to study the influence of a series of parameters on the features and structure of granular flow deposits. The experimental setup (see Fig. 2) consisted in a simple aluminium slope geometry composed of two distinct parts: a 90 cm × 70 cm slope with an inclination (α) which can be precisely modified, connected with a curved part to a 120 cm long horizontal surface. Furthermore, the experimental setup also includes a box (11 cm × 8 cm × 7 cm) where the loose material is enclosed at the beginning of the experiment. This box, separated from the main set-up, can be leant against the slope and quickly separated from it by means of a retractile jack. This allows placing a precise quantity of granular material on the slope and realising it avoiding any vibrations. Experiments then consist letting the mass propagating without lateral confinements till it reaches a complete stop (Fig. 2).

Two different materials were used for the experiments: (a) the first type of material corresponds to angular and calibrated carborandum sand (SiC, density = 3.21 g cm⁻³) with three different grainsizes (Table 1). The choice of carborandum was made in order to avoid the characteristic electrostatic effects that have been often observed in granular flow experiments and that are not present in real events (Iverson and Denlinger, 2001; Manzella, 2008). Furthermore, the angular shape of this type of material has close resemblances with natural material, (b) the second material corresponds to colored sands of similar grainsize. The choice of this material was driven by the need of observing the evolution of the initial stratigraphy, i.e. to analyze the deposit stratigraphy (given by different layers of different colors) during motion and emplacement of the mass.

The slope and the surface of deposition were artificially roughed by adding sandpaper, also made of carborandum sand, where the grain diameter has been varied. The basal roughness Ra has been deduced according to the formula of Adams et al. (2012)

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4 Results

4.1 Results I: experiment description

4.1.1 Visual inspection from photography

Laboratory experiments were carried out with different volumes, grainsizes and using different basal roughness but only the finer grainsize (F120) presented visible features on the surface of the deposit as shown in Fig. 6a. Three distinct sets of features can be observed in this figure: inverse faults, normal faults and strike-slip faults. The first set, the inverse faults, is composed of long features, perpendicular to flow direction following the outline of the front with a tendency to become parallel to the global flow direction at the lateral margins (green lines on Fig. 6b). The second set is formed by thin normal faults located at the rear part of the deposit and perpendicular to the flow direction (red lines on Fig. 6b). Two different sets of strike-slip faults can be observed. The first one is composed of short and thin features parallel to the flow direction and present at the front of the deposit. These features can be observed cutting the inverse faults at the frontal part and cutting the normal faults at the rear part. The second one is made of strike-slip faults parallel to the flow direction and are present at the lateral margins of the deposit.

4.1.2 Visual inspection from high speed video

In high-speed video, propagation of the mass is easily observable. Sand of three different colors was used and was poured in the starting box as follow: 150 mL of red sand as the lower layer, 150 mL of grey sand as intermediate layer and finally, 150 mL of green sand. The slope is made rough with the finer substratum ($R_a = 1.43\mu\text{m}$) and the slope angle is 40° . Once the trap is open and the material is free to flow, all the layers are stretched under an extensional regime. Once the frontal part reach the horizontal surface, its velocity is decreased. As the mass continues to flow on the slope, the front

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is compressed and pushed forward. The mass is finally stopped once all the mass reaches the horizontal surface. The high-speed video is available in the Supplement.

4.2 Results II: point cloud processing

Figure 7 shows the results of the point cloud processing for all the simulations, i.e. using three grainsizes (F10, F36 and F120) on the different substrata (Table 2). For the coarser grainsize (F10), the application of the different filters and operator techniques has not highlighted any remarkable features. The only noticeable thing is that the shape of the deposit became more ellipsoidal with a decreasing basal roughness. For the medium grainsize (F36) the filters clearly highlighted a series of features perpendicular to the flow direction. The density of these features increases with the reduction of the basal roughness. In this case filters allowed detecting features that were not visible on the pictures alone.

As it can be observed on Fig. 7, the gradient along Y can be considered as an efficient manner for the observation of the different features affecting the surface of the deposits.

Using this operator, we observed that the back of the deposit presents high concentration of small features parallel to the flow direction. Figure 8a and b presents the back of an analogue deposit (F120 on the finest substratum, $Ra = 1.43\mu\text{m}$) after the point cloud processing and imported in the IMInspect module of Polyworks software (InnovMetric). Two different sets are observable: one perpendicular to the flow direction and the second composed of features parallel to the flow direction and cutting the first set (Fig. 8). The first set was observed with naked eye whereas the second set is only recognizable after post processing.

4.3 Frank Slide

The same visual inspection and filtering methods were applied to the Frank Slide deposit. Figure 9a is the result of the interpretation of the features mapped directly on the

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DEM and Fig. 9b is the result of the application of a gradient along the flow direction. The main features observed in the DEM are also recognizable on the gradient map, but a series of structures that are masked on the DEM image can be identified in the gradient image. Figure 9c and d shows a zoom of the deposit after the filtering. In the Fig. 9c, features parallel to the flow direction are clearly identifiable whereas in the Fig. 9d, the features are parallel to the flow direction.

5 Discussion

Our workflow has allowed the identification of three distinct sets of features on the analogue granular flow deposit. Those features are important marks of the processes happening during the flow and the emplacement of the mass and could be crucial in improving our understanding of the dynamics and the reasons of the high mobility of rock avalanches. The inverse faults are well marked on the deposit front, reflecting the compression affecting the frontal part of the mass. Inverse faulting system appears as soon as the frontal part of the granular mass hits the surface of deposition and its velocity starts to slow down. Then, the granular material accumulates on the rear part, pushing forward and compressing the frontal part of the deposit. Normal faults were formed during an extensional regime, when the mass was stretched during the flow and by the pulling of the frontal part of the mass. Strike-slip faults are present at the front of the deposit. As the mass is thinner at the margins and consequently the velocity decreases while the central part of the mass is still on motion letting strike-slip faults appear at the lateral margins of the deposit. The strike-slip faults are the expression of the shearing occurred during the deceleration of the mass (Shea and van Wyk de Vries, 2008).

Thanks to the application of the filtering on analogue deposit 3-D datasets, the structures observed during the laboratory experiments were highlighted. One advantage is that the use of filters allows detecting features for the finest sand (F120) and also for the medium one (F36), for the totality of the basal roughness. The fact that no features

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at the rear part of the deposit. In this figure, it can be observed that the center of the mass is mainly composed of green sand, confirming that the rear part of the green layer in Fig. 10 hits the mass already present on the surface of deposition (steps 5–7 in Fig. 10). When this mass hits the deposit, it probably increased the compression explaining the numerous inverse faults present at the front (Fig. 11c).

To confirm what observed the number of colored sand grains has been counted along the central section of the deposit, as reported on Fig. 12. Results confirmed what showed by Fig. 11b and c with the identification of an extension-dominated area in the rear and a compression dominated area in the front. The rear part of the deposit corresponds to the extension-dominated area. This area is mainly composed of red sand whereas few green grains were observed. In the contrary, the central part of the deposit is mainly composed of green sands. Indeed, this part corresponds to the rear part of the deposit that hit the mass already deposited (Fig. 10). The frontal part of the deposit corresponds to the compression-dominated area. The compression caused by the impact of the green layer of grains in the central part pushed part of the lower layer (red) further on the front and towards the surface creating the inverse faults also showed in Fig. 11c. Indeed, in this frontal part, we can observe that the amount of red sand increases.

Because of the position of the profile, the red layer is not clearly visible at the front of Fig. 11b and c but the conservation of the initial stratigraphy is observable in the Fig. 11a. The fact that the initial stratigraphy is preserved in the final deposit it is relevant since this feature has been already detected in several real cases and it has been recognized as one of the main ones characterizing rock avalanche deposit (Erismann, 1979; Manzella and Labiouse, 2013). Thanks to the film analysis we could then relate some propagation mechanisms with the consequent preservation of the initial stratigraphy in the final deposit and this could give an insight in the dynamics of real rock avalanches.

Based on these observations, we can propose a mechanism of propagation of a granular flow as shown in Fig. 13 and explained in the following:

inverse faults are present at the frontal part of the deposit, which correspond to the compression-dominated area. Normal faults are mainly observed at the back of the deposit (Figs. 6 and 8), which correspond to the extension-dominated area. Finally, strike-slip faults are observed at the back and at the margins of the deposit (Figs. 6 and 8). This repartition was also observed in the Blackhawk deposit (Fig. 1a) and in a Martian deposit (Fig. 1b) (Shea and van Wyk de Vries, 2008). Based on the study of the DEM of Frank Slide deposit and with the filtering technique, the same repartition of the features was observed (Fig. 9).

6 Conclusions

The use of 3-D dataset, accurate visual inspection and a performing filtering method give crucial information on the motion of granular mass. To summarize:

1. Three families of faults were highlighted on the surface of the deposit: normal faults, inverse faults and strike-slip faults. We also highlighted that strike-slip faults are present at the back of the deposit.
2. The identification of the different features allowed identifying three regimes during the propagation of the mass: extensional, compressional and shearing. The extension to real cases of the interpretation of the motion of the granular mass based on laboratory experiments is comforted by the fact that the initial stratigraphy is preserved in both cases and this is an important characteristic of rock avalanche deposits.
3. The result of the filters on the 3-D dataset is a colored point cloud where the slope variations are assigned to a color scale. The method is fast and results into a rapid mapping of the deposit.
4. The use of laser scanner and Structure from Motion are two different techniques to get 3-D dataset. Both are valid and often they result to be complementary.

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5. The analogue deposits present similar features as real cases events (Blackhawk and Martian deposits, Fig. 1).

The application of the filtering technique on the deposit of the Frank Slide rock avalanches gives encouraging results and after some further improvements could be applied in the future to understand the dynamics of emplacement of historic rock avalanche observing interpreting their deposit features.

The Supplement related to this article is available online at doi:10.5194/15-1255-2015-supplement.

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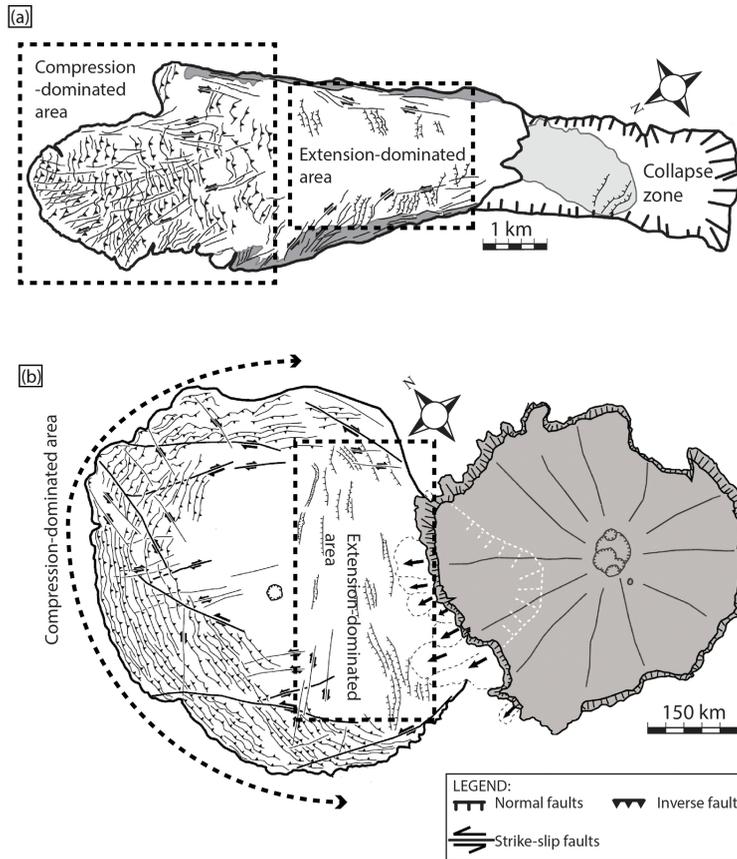


Figure 1. (a) Blackhawk deposit and (b) Martian deposit (modified after Shea and van Wyk de Vries, 2008).

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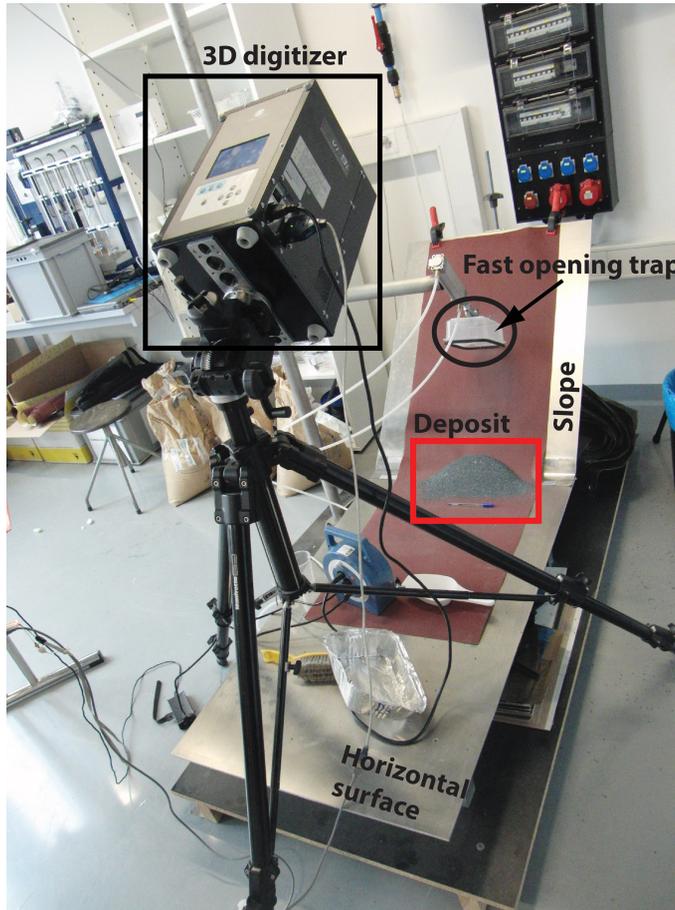


Figure 2. Laboratory setup.

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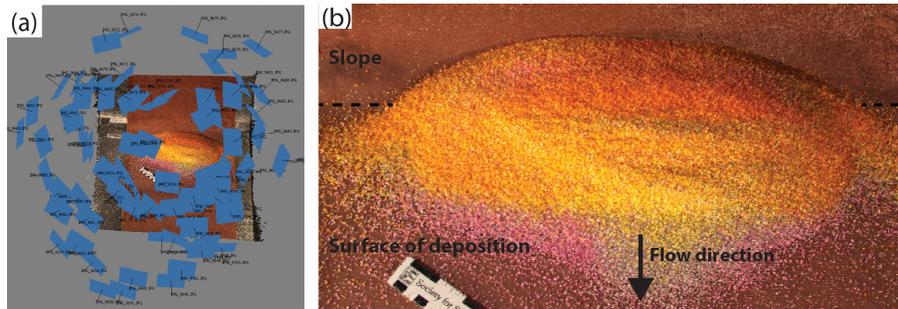


Figure 4. (a) View of the different position of the camera to take pictures for structure-from-motion; (b) 3-D model obtained with structure-from-motion. Three colored sands were used for this experiment (yellow, grey, pink).

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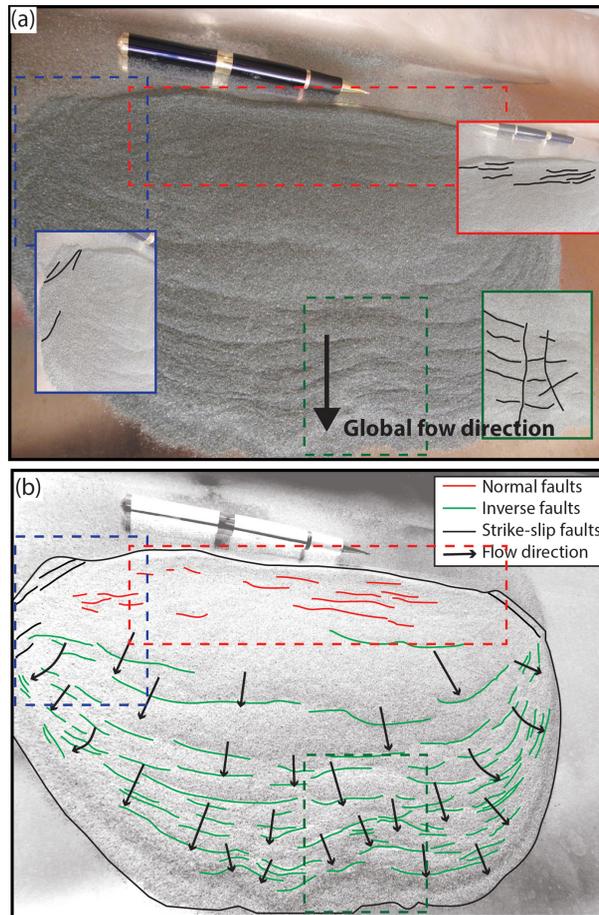


Figure 6. (a) analogue deposit (F120, aluminum substratum), view from the top; (b) result visual inspection and features mapping observed on the deposit surface.

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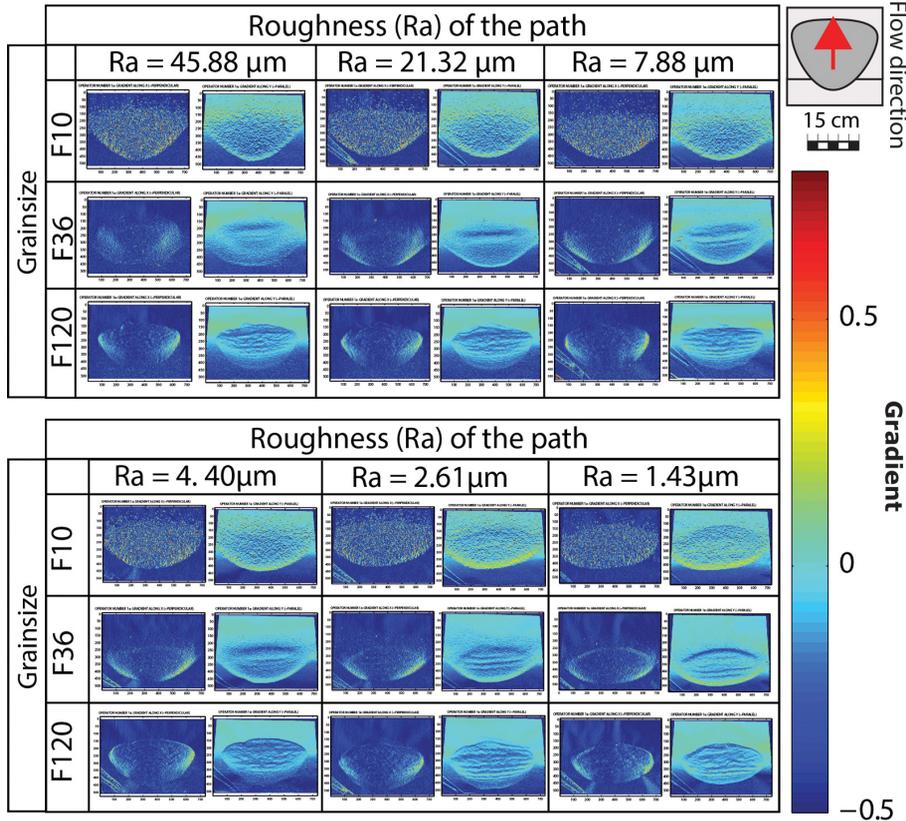


Figure 7. Results of the gradient along X and Y applied to all experiments carried for this research. The best results are obtained with the gradient along Y . The influence of the grainsize and the substratum on the shape of the deposit is clearly observable.

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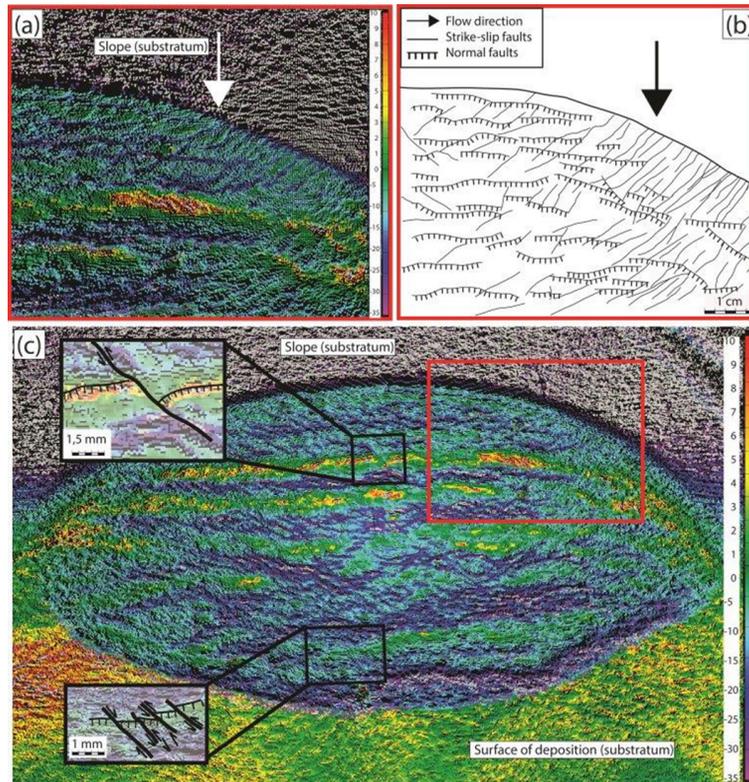


Figure 8. (a) Portion of the back of a deposit after post-processing and (b) detailed mapping of the back of the deposit. Strike-slip faults are numerous at the back, cutting normal faults. (c) the whole result.

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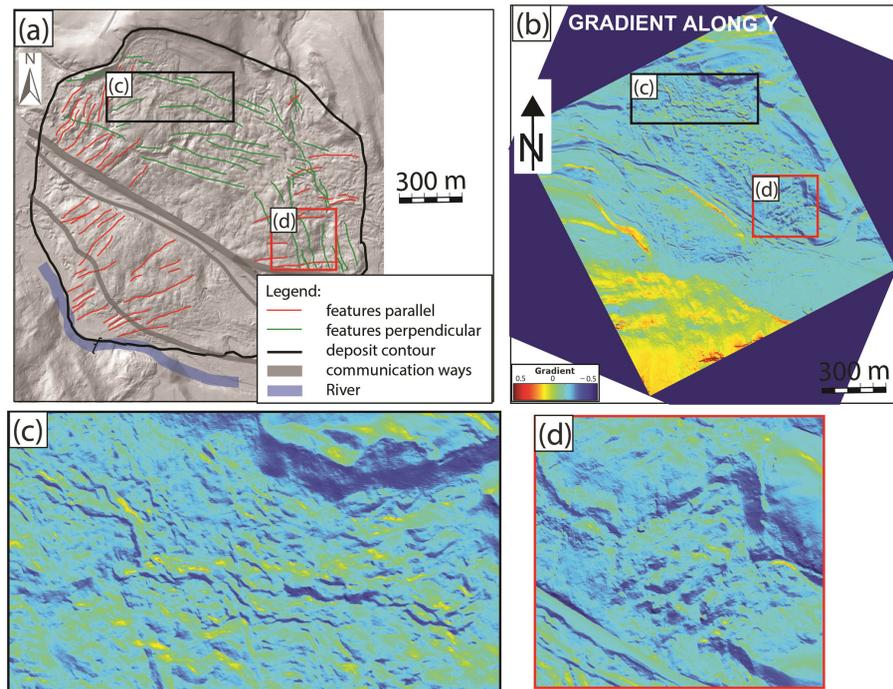


Figure 9. (a) Map of the different features observed on the DEM of Frank Slide deposit; (b) Result of the gradient along Y applied to the DEM of Frank Slide; (c) zoom on features perpendicular to the flow direction; (d) zoom on features parallel to the flow direction.

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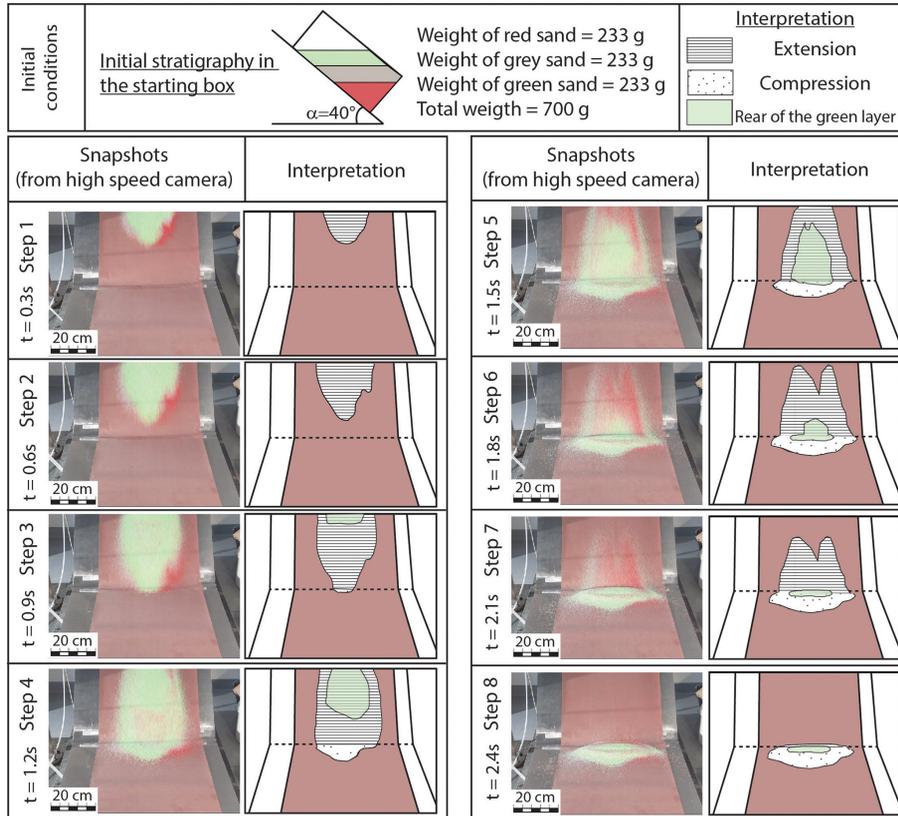


Figure 10. Time laps of an analogue granular flow (0.3 s between each picture). Three colored sand were used during this experiment (red, grey, green). On the left column are the snapshots of the experiment and the right column the interpretation of the flowing mass.

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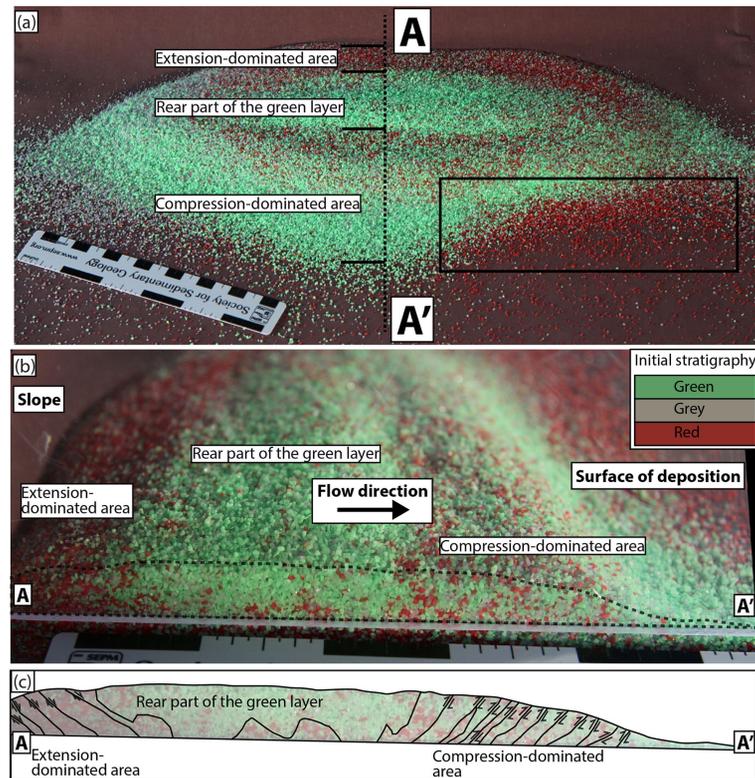


Figure 11. (a) analogue result of the experiment carried with 3 colored sands (Fig. 10); (b) cross-section AA' through the center of the analogue deposit; (c) interpretation of the cross-section AA'.

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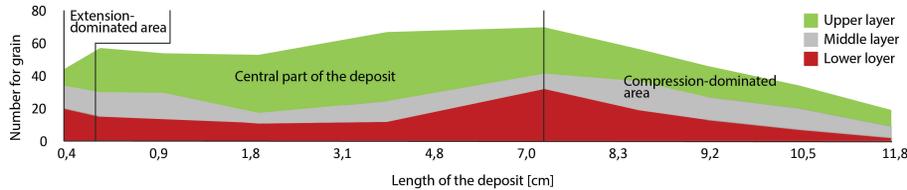


Figure 12. Repartition of the colored sand grains within the deposit.

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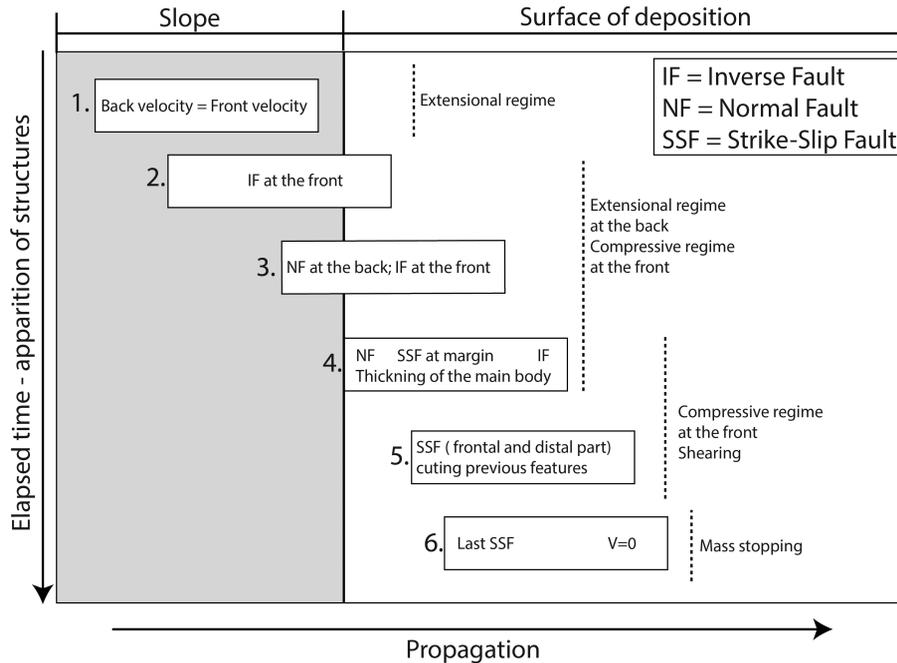


Figure 13. Summarized sketch of the propagation and features appearance of a granular flow in laboratory (modified after Shea and van Wyk de Vries, 2008).

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